Parasite polymorphism and severe malaria in Dakar (Senegal): a West African urban area.

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Introduction

The UNESCO estimates that half of the African population will be living in an urban setting by 2030 [1]. This urbanization is associated with better access to healthcare. However, the fatality rate of malaria is not decreasing in these urban areas (particularly looking at the age-groups) and to compare parasite isolates associated with mild or severe malaria.

Transmission of malaria in West African urban areas is low and healthcare facilities are well organized. However, malaria mortality remains high. We conducted a survey in Dakar with the general objective to establish who died from severe malaria (SM) in urban areas (particularly looking at the age-groups) and to compare parasite isolates associated with mild or severe malaria.

Background: Transmission of malaria in West African urban areas is low and healthcare facilities are well organized. However, malaria mortality remains high. We conducted a survey in Dakar with the general objective to establish who died from severe malaria (SM) in urban areas (particularly looking at the age-groups) and to compare parasite isolates associated with mild or severe malaria.

Methodology/Principal Findings: The current study included mild- (MM) and severe malaria (SM) cases, treated in dispensaries (n = 2977) and hospitals (n = 104), We analysed Pfδhfr/Pfcr-exon2 and nine microsatellite loci in 102 matched cases of SM and MM. Half of the malaria cases recorded at the dispensaries and 87% of SM cases referred to hospitals, occurred in adults, although adults only accounted for 26% of all dispensary consultations. This suggests that, in urban settings, whatever the reason for this adult over-representation, health-workers are forced to take care of increasing numbers of malaria cases among adults. Inappropriate self treatment and mutations in genes associated with drug resistance were found associated with SM in adults. SM was also associated with a specific pool of isolates highly polymorphic and different from those associated with MM.

Conclusion: In this urban setting, adults currently represent one of the major groups of patients attending dispensaries for malaria treatment. For these patients, despite the low level of transmission, SM was associated with a specific and highly polymorphic pool of parasites which may have been selected by inappropriate treatment.
consultation appeared to be the major factors associated with SM. However, genetic analyses of the parasite isolates from SM and MM, using molecular markers, of drug resistance to chloroquine and pyrimethamine as well as parasite polymorphic (neutral microsatellite loci, [13]) revealed a very large parasite diversity in the isolates. It also confirmed clear genetic differences within parasites associated with MM.

Methods

Recruitment of patients

In Senegal, mild malaria cases are managed at the dispensary and severe malaria cases are referred to a hospital. Patients with mild malaria, were registered during the rainy season (September to December) at the Dispensaire St-Martin in Dakar. Routine registration and questioning of patients, medical examination, biological diagnosis and treatment were conducted by eight nurses and two medical doctors, in agreement with the Senegalese national recommendations. Medical consultations of adults, children and pregnant women were conducted in separate areas. All patients with fever and without obvious etiology underwent a thick blood smear examination prior to antimalarial treatment. Mild malaria was defined as fever associated with a positive thick smear and without other obvious causes of fever. Severe malaria cases were assessed by the local team, according to the national recommendation (mainly based on clinical criteria) and referred to a hospital. All biological examinations were confirmed at the Institut Pasteur de Dakar. Malaria cases were recruited by our team from two hospitals in Dakar, namely Centre hospitalier national (CHN) de Fann, and Hôpital Principal de Dakar (HPD), over the same period of time. In the hospitals, patients with fever and clinical signs of cerebral impairment underwent a lumbar puncture (to rule out meningitis) and twice rapid malaria diagnosis tests plus a thick blood smear, over a 48 hour period. The same protocol of examination and questioning (of the family) was followed as for MM. Assignment of patients to a cerebral malaria (CM) group was carried out following the WHO criteria. However, P.falciparum-positive patients with impaired consciousness but not fulfilling WHO definition, were called “hospitalized malaria” (HM). Most of them experienced a rapid recovery of consciousness during the time of presentation. During statistical analysis when no difference was found between the CM and HM groups, patients of the two groups were pooled into the severe malaria (SM) group for further analysis.

During this survey patients with MM were matched with SM for the genotyping study. This group of MM matched patients was submitted again to a careful interrogation (especially about drug use and self-medication) and physical examination. Immediately at presentation of a patient with SM, two MM cases were matched based on their area of residence, age group and gender. 144 MM were matched before the assignment of hospitalized patients to CM or HM groups. Only 102 control patients were kept as matched controls for the genotyping study after confirmation of the MM and SM status (Table 1).

Parasitaemia was measured by examination of Giemsa stained blood smears using a magnification x1000 and by establishing the parasite count per 1000 leukocytes. The count per microliter was calculated according to WHO recommendations.

For each patient enrolled in the genotyping study, 5 mL of peripheral venous blood was collected on EDTA.

Written consent was obtained from the patients, or their relatives, after verbal information in the vernacular language, and the study was approved by the National Ethics Committee of the Ministry of Health of Senegal.

DNA extraction and analysis

Parasitic DNA was extracted using the standard Phenol-Chloroform procedure [14]. P.falciparum exon_2, and P.falciparum were sequenced as described [15]. Nine single-copy microsatellite sequences (BM27, PFPK2, TAA109, TAA87, 2490, ARA2, PFG377, TAA11, TAA42) [13,16] were amplified using semi-nested PCR (Table 2). PCR products were analyzed, in a random order in single blind experiments, on an ABIprism_377 DNA sequencer.

Population genetic structure and linkage disequilibrium (LD)

Differences between groups were compared using the Mann-Whitney (MW), Chi2 or Kruskal-Wallis (KW) tests. Correlations were calculated using a Spearman test (SP).

Table 1. Characteristics of the patients recruited for the parasite genotyping study.

<table>
<thead>
<tr>
<th></th>
<th>Cerebral malaria</th>
<th>Hospitalized malaria</th>
<th>Mild malaria</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>F</td>
<td>M</td>
<td>Total</td>
<td>F</td>
</tr>
<tr>
<td>Number of patients (M/F ratio)</td>
<td>16</td>
<td>18</td>
<td>34 (1.12)</td>
<td>16</td>
</tr>
<tr>
<td>Mean age (SD)</td>
<td>12.5</td>
<td>16.2</td>
<td>14.5 (10.3)</td>
<td>19</td>
</tr>
<tr>
<td>Patients treated before consultation (%)</td>
<td>43.8</td>
<td>33.3</td>
<td>38.2%</td>
<td>43.8</td>
</tr>
<tr>
<td>Use of prophylaxis (% of patients)</td>
<td>18.8%</td>
<td>16.7%</td>
<td>17.6%</td>
<td>18.8%</td>
</tr>
<tr>
<td>Patients with a temperature &gt;40°C (%)</td>
<td>6.2%</td>
<td>22.2%</td>
<td>14.7%</td>
<td>12.5%</td>
</tr>
<tr>
<td>Percent of deaths</td>
<td>19%</td>
<td>22%</td>
<td>21%</td>
<td>0</td>
</tr>
<tr>
<td>Parasitaemia* (paras./µL)</td>
<td>5165</td>
<td>1297</td>
<td>2656</td>
<td>756</td>
</tr>
<tr>
<td>Hemoglobin (mean g/L)</td>
<td>8.3</td>
<td>10.7</td>
<td>9.7</td>
<td>-</td>
</tr>
<tr>
<td>Prevalence of anemia**</td>
<td>66.7%</td>
<td>50%</td>
<td>57%</td>
<td>-</td>
</tr>
<tr>
<td>Mean delay before consultation (days)</td>
<td>5.1</td>
<td>4.8</td>
<td>4.9</td>
<td>5</td>
</tr>
<tr>
<td>Patients consulting &gt;4 days after onset of the symptoms (%)</td>
<td>53.3%</td>
<td>58.8%</td>
<td>56.2%</td>
<td>46.7%</td>
</tr>
</tbody>
</table>

*Parasitaemia was counted on Giemsa stained thick smears for 1000 leukocytes and expressed as parasites per microliter of blood, according to WHO standards. Geometric means were calculated.

**Haemoglobin less than 10 g/dL.

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Polymorphism of the parasite populations was determined by the complexity of the isolates, i.e. the average number of parasite genotypes per patient, in the population (estimated for each patient from the locus displaying the largest number of alleles) and for each microsatellite by i) the mean number of alleles per patient and ii) the number of alleles needed to describe 50% or 75% of the patients in the group. For population genetic analyses, individual haplotypes were reconstructed for the nine microsatellites, when possible (single allele observed at each locus or multiple alleles observed at only one locus). Genetic variability was quantified using FSTAT as i) mean number of alleles per patient, in the population (estimated for each patient from the locus displaying the largest number of alleles) and for each microsatellite by i) the mean number of alleles per patient and ii) the expected heterozygosity under H0 and H1, and ii) expected heterozygosity under H0 and H1.

### Results

#### Mild malaria cases in dispensaries

During this study 22,989 patients attended the Dispensari St Martin for medical consultations, with a decrease from September to December (7,612 to 2,685). Overall, 46% of the consultations were for children less than 5 years old (21% for infants <1 y, 25% for 1–5 y olds). Older patients (>15 y) represented 26% of the consultations and their number increased during November and December. Malaria attacks accounted for 13.7% of all the consultations versus 6% for girls OR = 1.77 [1.43–2.19]). During this study only *P. falciparum* was reported.

#### Treatment delay and self-treatment are more frequently associated with severe malaria patients

Overall 29.4% of the patients enrolled in this study (MM+CM+HM) used self-treatment before consultation, with a significant difference between groups (Tab. 1; MM versus HM CHI² p = 0.0001, MM vs. CM CHI² p = 0.0009), but not in the use of prophylaxis (16%) (not significant, NS). Severe cases (CM+HM) had experienced a longer delay before consultation than MM cases (CHI² p = 0.0005), especially among the patients using self-treatment (Chi2 p = 0.00015). Geometric mean parasitaemia was higher in MM than in severe cases (Tab. 1) and was lower in patients who underwent self-treatment (2,343 with self-treatment versus 7,499 parasites/µL without, t-student p<0.00001), suggesting partial efficacy of the drugs being responsible for the delayed consultation. No correlation was found between age and parasitaemia levels, reflecting prior drug consumption or lack of immunity. Anaemia was more frequent in MM than in CM cases and concerned females more often than males (MW p = 0.05) or younger patients (correlation haemoglobin vs age, Spearman R = 0.2520, p = 0.0076).
High rate of Pf dhfr mutations in severe malaria patients

Due to the small quantity of blood sampled from each patient, only 110 samples were sequenced for Pfcrt-exon2 (Fig. 2A). Only codons 74, 75 and 76 were found to have mutated, with two haplotypes identified (CVMNK or CVIET) and ambiguous sequences consistent with the presence of both haplotypes (Fig. 2A). No difference was found in the distribution of these genotypes in MM, CM or HM samples. Mutations associated with chloroquine-resistance (74I, 75E and 76T) were highly prevalent in all the groups, with a K76T mutation observed in 85% of isolates. Chemoprophylaxis with chloroquine (CQ) tended to be associated with an increased prevalence of the CVIET haplotype (i.e. 76% vs. 87% in isolates from patients without vs with chemophrophylaxis, NS). For Pf dhfr-ts 69% of the isolates were mutated, with only three mutations detected (N51I, C59R, N108S) (Fig. 2B). 36% of the isolates were triple mutants, 14% were double mutants and 17% were single mutants. Mutations were more prevalent in SM than MM (81% vs. 58%, OR 3.18 [0.6;18.3]) and also showed an higher rate of triple mutations (46% versus 31% of SM and MM respectively, OR NS).

Large genetic diversity of the Dakar isolates and higher infection complexity in Cerebral Malaria

Despite the low transmission rate of malaria in Dakar, parasite isolates from the area contained highly polymorphic microsatellite loci, with 9 to 16 alleles observed per locus (Fig. 3). The total number of alleles per locus (Fig. 4C) was similar in SM and MM isolates (FSTAT analysis, NS) and was lower at CHN Fann due to the small sample size (data not shown, DNS). However, the frequency of alleles was variable, with a dominant allele for some loci (50% of the patients sharing the same 2 alleles and 73% the same three alleles, irrespectively of their clinical group, Fig. 4D–E), and a broad distribution for others. Six microsatellites presented a greater complexity in CM compared to HM and MM (Fig. 4B). However, no association of a specific allele was detected with any clinical group, possibly due to an insufficient sample number.

In contrast, self-treatment was associated with an increased frequency of some alleles, which could indicate a selection of parasites (DNS). However, no significant association between a specific allele and a Pfcrt or Pf dhfr haplotype was found (once again, this may be due to an insufficient sample number).

By taking the nine microsatellites together, it was found that the multiplicity of infection was high with a maximum of three distinct parasite populations detected per sample (Fig. 4A). It increased with the severity of the disease (KW, p = 0.001), with a 15-fold higher risk of CM in cases of multiple infection (OR CM/MM 15.3 [3.3;98], OR CM/HM 6.4 [1.2; 45]). Furthermore, all fatal cases were multi-infected (Mann Whitney with survivors p = 0.019). Surprisingly, multiplicity of infection was inversely correlated to the mean parasitaemia of the patients (Spearman R=20.173, p = 0.029), but not to other clinical parameters or treatments. This is however consistent with lower parasitaemia detected in severe cases.

Patients with severe malaria harboured a selected group of parasite isolates

A high mean heterozygosity was found for the 235 haplotypes reconstructed (He = 0.81±0.07, Fig. 5A). Strong linkage disequilibrium was found between microsatellite loci. Linkage and FST analyses confirmed the selection of a specific group of isolates in SM. Indeed, for all isolates, 33 of 36 pair wise combinations of loci displayed significant linkage (p-value<0.0014), compared to 11/36 for SM and 4/36 for MM, respectively. This data supports evidence of a higher linkage of the parasite population in severe malaria compared to MM patients, and a low rate of exchange
between these two parasite populations. Fst values also indicate a significant difference between these clinical groups (p < 0.017 for all). Canonical Correspondence Analyses confirmed (Fig. 5B) a larger genotypic diversity in SM isolates than in MM, in line with the multiplicity of infection.

Overall, no significant linkage between SM and a specific parasite genotype/allele was detected by single microsatellite analysis. However, global genetic analyses outline a larger diversity of parasite populations in SM and a clear genetic difference between parasites associated with either SM or MM.

Figure 2. Mutations in *Pfcrt* and *Pfdhfr* genes. A) *Pfcrt*-exon-2 haplotypes. 110 sequences were obtained (n = 15 CM, 19 HM, 76 MM). Only two haplotypes were detected in codons 72–76, CVMNK (wild type, n = 16) and CVIET (mutated, n = 84). B) Frequency of distribution of *Pfdhfr* alleles (n = 43, 9 CM, 7 HM and 26 MM). Three mutations were found: N51I, C59R, and N108S.

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Figure 3. Allelic distribution of the nine microsatellites studied. Fragment size was calculated using a Rox-labelled internal molecular weight marker and cubic polynomial regression (Genscan software). For each microsatellite, alleles were defined according to the size of the PCR product and to the structure of the repeats in the genetic sequence. Size increases from code 1 to n. Frequencies were calculated in reference to the total number of samples in each group (CM: cerebral malaria, HM: hospitalized malaria, MM: mild malaria). Patient self-treatment was associated with higher frequency of ARA2 alleles 8-14; PFPK2 allele 2; TAA87 allele 7; and 7A11 alleles 1-2-4.

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Discussion

This study, conducted in Dakar during the peak of malaria transmission [6,8], compares parasite isolates collected from patients attending one of the largest out-patient clinics in this area and from patients admitted to hospital for severe malaria with neurological impairment.

During this study, adult patients accounted for about 50% of all confirmed malaria cases at the dispensary. This high prevalence is unlikely to be due to under-representation of children, resulting e.g. from home treatment, as more than 70% of the consultations were for children <15 y. Adults were also highly susceptible to severe malaria, as they accounted for 87% of the 8% of the malaria cases referred to hospital, in agreement with other surveys at CHN-Fann [19,20].

For the genotyping study, three groups of patients were compared: mild malaria, hospitalized malaria and cerebral malaria. A very high rate of chloroquine resistance-associated CVIET Pfcrt haplotype was found in all clinical groups. Furthermore, a higher prevalence of mutated Pf dhfr isolates was found in severe cases. These findings are compatible with other studies [21] showing elevated in vitro cycloguanil resistance in isolates collected at hospital. Self-treatment was reported by 16.8% of the MM patients attending the dispensary. This rate is lower than that previously reported by Ndiaye et al [22] and concerned mainly patients with HM (particularly men). Self-treatment associated with: i) a longer delay between appearance of symptoms and presentation at hospital ii) and a lower level of haemoglobin at admission. SP is still largely used by adults due to its single-shot administration. Presence of Pf dhfr mutants in SM may indeed reflect poor efficacy of this self-treatment. Additionally we found that chloroquine-prophylaxis tended to be associated with a higher frequency of a Pfcrt mutated genotype. Chloroquine and SP are thus poorly efficient treatments. As was reported recently, they can, however, reduce parasite densities and result in delay in malaria presentation, which can contribute to asthenia and anaemia and thus to referral to hospital [23]. A lower proportion of parasitaemia was found in severe malaria cases than in MM in contrast with previous surveys conducted in this area, before the appearance of chloroquine and sulfadoxine-pyrimethamine resistance [24].

Microsatellite genotyping of the isolates showed a high prevalence of multiple infections with a higher infection complexity and a larger genetic diversity in parasite isolates from patients with CM and HM. These data are in agreement with Nzila [23] and Conway [26], but not with Ferreira [27]. All parasite isolates collected from patients who subsequently died were polyclonal. In a previous study in the same area [24], in line

Figure 4. Genetic diversity of the parasite population. For a given patient group, the average number of alleles was calculated by the sum of distinct PCR fragments amplified from the group, divided by the number of persons in that group. A) Percentage of patients with multiple infections (deduced from 9-microsatellite loci genotypes) and number of alleles/patient by clinical group; CM were predominantly multi-infected (23 isolates/person). B) Percentage of patients with multiple alleles for each microsatellite locus in each clinical group, C) Total number of alleles for each microsatellite locus in each clinical group, and D) Number of alleles common to 50% of the isolates in each clinical group. E) Number of alleles common to 75% of the isolates in each clinical group. doi:10.1371/journal.pone.0009817.g004
with Durand et al [28], we observed a lower frequency of multiple infections in severe cases as compared to mild cases, but a similar mean number of alleles in multiply infected patients from both groups. However, we used polymorphic genes coding for antigens potentially under immune selection rather than neutral microsatellites. This may have biased the estimate, as demonstrated in Dakar by Leclerc [29]. Only a minority of patients reported to have traveled outside the area of Dakar (19%) suggesting that most infections have been acquired locally, whatever their clinical group. Since malaria transmission in Dakar is low, the permanent resident parasite pool is expected to be limited. The large diversity observed, and the elevated infection complexity, support the hypothesis of an invasion of isolates from rural areas into the urban biotope. Indeed, as in most of the large African agglomerations, peri-urban suburbs are still connected to the countryside through intense population movement [30–32]. As a consequence, multi-infected parasite carriers coming from rural high malaria transmission areas [33–34] are likely to introduce parasites that are then secondarily propagated in the peri-urban farming settings. This provides a regular feed of parasites into the urban biotope [35]. This may account for the elevated multiplicity of infection observed in the Dakar residents in our study and also in previous studies of hospitalized patients [21] and pregnant women [36–37].

Microsatellite genotyping showed roughly similar allele frequencies in CM, HM and MM. There was no association of any specific allele with a clinical group or fatal outcome, or between a specific microsatellite allele and Pfcr or Pfldhii haplotypes. However, Fst analysis and linkage disequilibrium confirmed differences between MM isolates collected from dispensaries and those from severe malaria, collected from referral hospitals. They suggest a non-panmictic structure in P. falciparum populations. As patients were matched on their household location and recruitment period, this cannot be due to different spatial origins of the samples, and isolation between MM and severe malaria is also unlikely to have occurred. The results indicate that severe malaria is not associated with a small subset of highly virulent genotypes/isolates, but rather demonstrates that it is associated with a highly polymorphic group of isolates presenting population characteristics different from parasites associated with mild malaria. These differences could be related either to drug resistance or to antigenic specificity. As self-medication and treatment delay were found to be major factors associated with SM, a strong involvement of drug resistance in the selection of a pool of isolates is suggested. However, this does not explain genetic isolation of these isolates from those inducing MM. This could be explained by their newly introduction into the urban biotope from other parts of the country, followed by their selection due to inappropriate treatment. However, the role of specific antigenic properties of these isolates in their pathogenicity cannot be ruled out; as the immune response developed by inhabitants of this low transmission area could be mostly strain-specific.

Whatever the origin of these isolates, this study highlights that young adults are the major group of patients attending dispensaries with mild malaria and hospitals with severe malaria in urban settings. According to the new strategy for the elimination of malaria proposed by WHO in countries with low transmission, this clinical profile could be the most prominent in the next 5 or 10 years in most of the African urban areas. National Malaria teams must be aware of this evolution to adapt their strategies. Multiple infections and highly mutated Pfldhii parasites were associated with severe malaria, but parasite isolates collected from severe malaria patients were clearly identified as a separate pool of parasites from those detected in MM. This supports the need for full sequence analysis of the genome of these parasites in order to understand their association with patho-physiology.

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Author Contributions
Conceived and designed the experiments: LM RJ. Performed the experiments: NSB MTE CB. Analyzed the data: FR LM PD RJ. Contributed reagents/materials/analysis tools: BMD AT BK OMP. Wrote the paper: FR OMP RJ.

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